

## J. SECURITIES AND EXCHANGE COMMISSION

## STRATEGIC OBJECTIVES

## SECTOR OUTCOME

Sound, stable and supportive macroeconomic environment sustained

## ORGANIZATIONAL OUTCOME

Corporate and Capital Market Infrastructure strengthened

## PERFORMANCE INFORMATION

## ORGANIZATIONAL OUTCOMES (Oos) / PERFORMANCE INDICATORS (PIs)

## BASELINE

## 2018 TARGETS

## Corporate and Capital Market Infrastructure strengthened

## CORPORATE AND CAPITAL MARKET DEVELOPMENT PROGRAM

## Outcome Indicator(s)

1. Value of securities registered increased	P 31.3B	P 37.8B
2. Total number of applications for registration, licensure and accreditation processed and approved increased	119,108	135,754
3. Percentage of investment scams / complaints reported by the public and investigated by SEC increased	100%	100%

## Output Indicator(s)

1. Number of planned policy measures promulgated / implemented (including memorandum circulars, public consultations, etc.)	13	13
2. Percentage requests for technical assistance that are acted upon within the prescribed timeframe	100%	100%
3. Number of applications for certification examination for capital market participants processed and approved	848	848

## CORPORATE AND CAPITAL MARKET REGULATORY PROGRAM

## Outcome Indicator(s)

1. Percentage of target regulated entities and individuals monitored and evaluated as compliant with SEC rules and regulations increased	0	10%
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## Output Indicator(s)

1. Percentage of license or permit applications and renewals processed within standard processing timeframe	100%	100%
2. Number of target entities monitored and evaluated	53,489	66,642
3. Percentage of errant firms and individuals imposed the appropriate fines and / or penalties	100%	100%